

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jason SCOTT et al. App. No.: 09/955,394 Filed: September 17, 2001 For: ENHANCING UTILITY AND DIVERSIFYING MODEL RISK IN A PORTFOLIO OPTIMIZATION FRAMEWORK	Examiner: CHEUNG, Mary Group Art Unit: 3694 Conf. No.: 1548 Docket No.: FINA-000410
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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed April 5, 2007, the Applicant respectfully requests the Examiner to consider the following remarks:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.